

1-8-02

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PATENTS, TRADEMARKS, COPYRIGHTS

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BARRY R. LIPSITZ
 DOUGLAS M. McALLISTER

BOX PATENT APPLICATION

Commissioner for Patents
 Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)

JCS26 U.S. PRO
 10/039156
 12/31/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **R. SAFADI**Title: **METHODS AND APPARATUS FOR DIGITAL RIGHTS MANAGEMENT**Assignee: **General Instrument Corporation****APPLICATION ELEMENTS:**

(1) Patent Application Specification, including Abstract and claims - 20 pages
 (2) One (1) sheet of formal drawing, together with transmittal letter
 (3) A check in the amount of **\$1,104.00** to cover the [X] filing fee (**\$1,064.00**) and/or
 [X] Assignment Recordal Fee (**\$40.00**) is enclosed.

Before calculating the fee, cancel claim(s)
 Before calculating the fee, see copy of Preliminary Amendment filed herewith.

Basic Fee							\$740.00
Multiple Dependent Claims (\$280.)							
Foreign Language Surcharge (\$130.)							
	For	No. Filed	-	No. Extra		Rate	
EXTRA	Total Claims	38	20	18	x	\$18.	=
CLAIMS	Independent Claims	2	3	0	x	\$84.	=
TOTAL FILING FEE							\$1064.00

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

**Box Patent Application
Commissioner for Patents
Page 2**

(4) Declaration and Power of Attorney form -- 2 pages

- Newly executed
- Copy from a prior application
- Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.

(5) Applicant claims small entity status

ACCOMPANYING APPLICATION PARTS:

(6) Patent Application Data Entry Form - 1 sheet

(7) Assignment document

- Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
- Copy from a prior application

Please include assignee information when this application is published. Assignee:
**General Instrument Corporation
101 Tournament Drive
Horsham, Pennsylvania 19044
United States of America**

(8) Preliminary Amendment

(9) Certified Copy of Priority Document, together with separate transmittal letter

(10) Information Disclosure Statement, together with PTO Form 1449 and copies of cited references

(11) Return receipt postage prepaid postcard

(12) Express Mail Certificate (Mailing Label No. EV 046 687 002 US)

(13) Other:
a.

(14) If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP)
of prior application no.: _____
Prior application information:
Examiner: _____ Group/Art Unit: _____
Status: _____

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4 above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

(15) [] Please amend the specification by inserting before the first line the sentence:

(16) [] The benefit of U.S. provisional application no. _____ filed _____ is claimed under 35 U.S.C. 119(e).

(17) [X] Correspondence address:
Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
Telephone: (203) 459-0200

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street
Monroe, CT 06468
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ATTORNEY DOCKET NO.: GIC-659
Date: December 31, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
R. Safadi)
Application No.:)
Filed: Herewith)
Title: METHODS AND APPARATUS FOR DIGITAL)
RIGHTS MANAGEMENT)

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Mailing Label No: EV 046 687 002 US Date of Deposit: December 31, 2001.

I hereby certify that the attached:

Check in the amount of \$1,104.00 (filing fee (\$1,064.00) and Assignment Recordal Fee (\$40.00))
 Patent Application Transmittal Letter - 3 pages
 Patent Application Data Entry Form - 1 sheet
 Patent Application Specification, including claims and Abstract - 20 pages
 One (1) Sheet of Drawing, together with separate transmittal letter
 Declaration and Power of Attorney - 2 pages
 Assignment, together with *Recordation Form Cover Sheet* Form PTO 1595 - 3 sheets

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Carol Prentice

Signature of person mailing paper or fee

Typed or printed name of person mailing paper or fee

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
Law Offices of Barry R. Lipsitz
755 Main Street, Bldg. No. 8
Monroe, CT 06468
(203) 459-0200

Date: December 31, 2001
Attorney Docket No.: GIC-659

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
R. Safadi)
Filed: Herewith)
Title: METHODS AND APPARATUS FOR DIGITAL RIGHTS)
MANAGEMENT)

DRAWING REVIEW BRANCH
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 046 687 002 US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on: December 31, 2001

By: Carol Prentice

Carol Prentice

TRANSMITTAL OF FORMAL DRAWING(S)

Dear Sir:

Enclosed is ONE (1) sheet of formal drawing for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. No. 8
Monroe, CT 06468
(203) 459-0200

Date: December 31, 2001
ATTORNEY DOCKET NO.: GIC-659